

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Caldwell et al.

Parent Serial No.: 09/522,117

Parent Filed: March 9, 2000

Group No.: 1624

Examiner: V. Balasubramanian

For: **COMPOUNDS CAPABLE OF ACTIVATING CHOLINERGIC  
RECEPTORS**

Date: October 9, 2001

BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

**PRELIMINARY AMENDMENT**

Dear Sirs:

Please enter the following amendments prior to calculating the filing fees. If any fees in addition to those accompanying the attached application are required, the Commissioner is hereby authorized to charge them to Deposit Account No. 50-0220 and consider this a petition therefore.

Please amend the above-identified application as follows:

**IN THE SPECIFICATION:**

Please insert the following at the beginning of the specification:

- - This is a continuation application claiming the benefit of application Serial No. 09/522,117 filed March 9, 2000, pending, the disclosure of which is incorporated herein by reference, which application claims the benefit of application Serial No. 09/098,285 filed June 16, 1998, now abandoned. - -

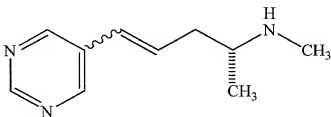
**IN THE CLAIMS:**

Please cancel Claims 1-11 without prejudice.

In re: Caldwell et al.  
Parent Serial No.: 09/522,117  
Parent Filed: March 9, 2000  
Page 2

Please add the following new claim 12:

12. A compound having the formula:



wherein the wavy line in the structure represents a cis (Z) or trans(E) form of the compound, and wherein the hashed line in the structure represents the R configuration, the S configuration, or a racemic mixture of the chiral carbon.

#### REMARKS

The Applicants herewith are filing a Continuation Application under 37 C.F.R. §1.53(b). Applicants have cancelled Claims 1-11 and have added new Claim 12.

Applicants respectfully request consideration of the application.

Respectfully submitted,

J. Michael Strickland  
Registration No. 47,115



20792

PATENT TRADEMARK OFFICE

#### **CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing label number: EL733099656US

Date of Deposit: October 9, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box PATENT APPLICATION, Commissioner of Patents, Washington, DC 20231.

Monica L. Croom; Date of Signature: October 9, 2001